ASA-1004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

T. OISHI et al

Serial No.

Filed: May 25, 2001

For: AUTHENTICATION COMMUNICATING

SEMICONDUCTOR DEVICE

## <u>INFORMATION DISCLOSURE STATEMENT (IDS)</u> UNDER § 1.97 AND § 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

## 1. This TDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).
- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed.

ASA-1004

- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the document listed in the attached PTO-1449 Form, because it is in the English language and/or discussed in the present Specification.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully, submitted,

Jehn R. Matting

Registration No. 130,293 Attorney for Applicants

MATTINGLY, STANGER & MALUR 104 East Hume Avenue Alexandria, Virginia 22301 (703) 684-1120

Date: May 25, 2001

#2

Sheet U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 PATENT AND TRADEMARK OFFICE ASA-1004 (REV. 7-80) APPLICANT LIST OF DOCUMENTS CITED BY APPLICANT T. OISHI et al GROUP (Use several sheets if necessary) FILING DATE May 25, 2001 **U.S. PATENT DOCUMENTS** EXAMINER INITIAL FILING DATE DOCUMENT DATE NAME CLASS SUBCLASS (If Appropriate) AA ΑB AC ΑD ΑE ΑF AG ΑH ΑI ΑJ AK **FOREIGN PATENT DOCUMENTS** TRANSLATION SUBCLASS CLASS COUNTRY DOCUMENT DATE YES NO AL ΑM ΑN AO ΑP OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) "5C Digital Transmission Content Protection White Paper", Rev. 1.0, AR July 14, 1998. AS AT DATE CONSIDERED **EXAMINER** \* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.